

# DEMONSTRATION OF MUTATION DEVELOPMENT AND VIRULENCE CHANGE IN REFERENCE CANDIDA STRAINS EXPOSED TO CASPOFUNGIN

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## ABSTRACT

**Purpose:** Echinocandin resistance is causing problems in the treatment. FKS gene mutations were detected on the genomes of the resistant Candida strains exposed to echinocandins. The aim of this study was to compare the resistance of reference Candida strains exposed to caspofungin and to investigate whether there was a difference in virulence factors between exposed and non-exposed with caspofungin.

**Methods:** Caspofungin susceptible reference strains, exposed to caspofungin during their incubation in caspofungin containing agar plates at concentrations of 16-0.03  $\mu$ g/ml. MIC values of caspofungin were determined all strains. FKS gene regions of the caspofungin exposed strains were sequenced in order to reveal the difference between the reference strains. Relationship between caspofungin exposure and virulence properties were investigated in vivo and in vitro methodologies. The in vivo virulence study on the mini-experimental animal model Galleria mellonella (wax moth) was performed.

**Results:** MIC values of the caspofungin exposed strains were found to be increased as one/two fold dilution when compared to the sensitive reference strains. The result of FKS gene sequence no mutation is detected in the reference strains, while a point mutation in caspofungin exposed C. glabrata strains were detected. No significant difference was found in virulence properties of reference strains and caspofungin exposed strains.

**Conclusions:** In conclusion, it was understood that the fact that sensitive strains were exposed to caspofungin even at low concentrations caused an increase in MIC, and that virulence and drug resistance should be evaluated independently.

Keywords: Candida, Caspofungin, FKS mutation, Galleria mellonella, virulence

## INTRODUCTION

*Candida* species are the fourth most common cause of bloodstream infections (1). Invasive fungal infections due to *Candida* spp. are important causes of morbidity and mortality, especially in immunocompromised patients. Antifungals used in the treatment of these patients are limited. Echinocandin class are a newer, selective and semisynthetic antifungal group than other antifungal

Function and primers	<u>Primer sequence (5'→3')</u>	<u>References</u>	
Candida universal FKS1HS1	AAT GGG CTG GTG CTC AAC AT	(11)	
Candida universal FKS1 HS1	CCT TCA ATT TCA GAT GGA ACT TGA TG	(12)	
Candida universal FKS1 HS2F	AAG ATT GGT GCT GGT ATG GG	(11)	
Candida universal HS2R	TAA TGG TGC TTG CCA ATG AG	(12)	
C. albicans fks1 hot spot 1	AATGGGCCGGTGCTCAACA	(12)	
C. albicans fks1 hot spot 1	TTCACCATTACATCTCAT	(13)	
C. albicans fks1 hot spot 2	AAGATTGGTGCTGGTATGGG		
C. albicans fks1 hot spot 2	ACCTCTTTCAATCAATTCTTGAACAAC	(13)	
Candida glabrata FKS1	GTTGCTGCGGTCATGTTCTT		
Candida glabrata FKS1	GCGTTCCAGACTTGGGAAAT	(14)	

**Table 1.** DNA primers used in the study

drugs. This class of antifungals acts by targeting the enzyme  $\beta$ -(1,3)-D-glucan synthase in the fungal cell

wall. Resistance to antifungals of the echinocandin class develops due to mutations in the "hot spot" regions of the FKS gene encoding the glucan synthase enzyme (2). Clinical factors supporting resistance include prophylactic use of echinocandins and empirical echinocandin treatment. In recent years, studies emphasizing the importance of resistance to antifungals of the echinocandin class have been increasing (3,4). It was reported that echinocandin resistance was reported worldwide, ranging from 0.1-3.5% for Candida glabrata, C. albicans and C. parapsilosis (4). No caspofungin resistance has been reported in our country. The relationship between exposure to caspofungin or the development of resistance and the pathogenesis of diseases caused by these strains and their virulence characteristics has not yet been fully elucidated. The number of studies on this subject is limited (5).

The aim of this study is to convert the reference strains of *C. albicans, C. parapsilosis* and *C. glabrata* into mutants in the presence of caspofungin and to analyse the phenotypic and genotypic aspects and reveal the virulence characteristics of these strains.

## METHODS

#### Comparison experiments with caspofungin

Solid media containing caspofungin were prepared, and an agar dilution-based method was applied. *Candida albicans* ATCC 10231, *Candida glabrata*  ATCC MYA-2950 and Candida parapsilosis ATCC 22019, which were sensitive and had not previously encountered caspofungin, were used as reference strains in the study. For exposure with an echinocandin, Sabouraud Dextrose Agar (SDA) plates were prepared, containing caspofungin (Sigma, USA) at decreasing concentrations starting from 16  $\mu$ g/mL to 0.03  $\mu$ g/mL (6). A total of 10  $\mu$ L of the yeast suspension was taken from the yeast suspension at a concentration of 10<sup>6</sup> and spread to the SDA plates containing caspofungin by a swab. Plates were incubated at 37°C for 48 hours to allow growth. Simultaneously, drug-free media were used as controls. Colonies that grew under caspofungin were accepted as "caspofungin resistant mutant". The concentration of caspofungin was noted (6,7). The following formula was used to calculate mutation frequencies (8). Both mutant and parent strains were stored at -80°C.

Mutation frequency = Colony number (CFU/mL) / Initial inoculum (CFU/mL)

## Antifungal sensitivity test

MIC values of reference strains that had not previously encountered antifungals and strains exposed to caspofungin were determined. For this purpose, reference microdilution methods, M27-A3 and M27-S4, standardized for yeasts by the Clinical Laboratory Standards Institute (CLSI) were used (9,10). MIC values for amphotericin B, fluconazole, voriconazole, caspofungin, and itraconazole were evaluated twice at 24 hours and 48 hours. The

Antifungals	CLS	I M27-S3	CLSI M27 S4					MIC (μg/ml)			MIC(µg/ml)		After the exposed to
	s	SDD/I	R	s	SDD/I	R	- Strains	24 hours	48 hours	<ul> <li>Antifungals</li> </ul>	24 hours	48 hours	echinocandins
Amphotericin B	-	-	-	-	-			0.03	0.06	Amphotericin B	0.06	0.06	
Fluconazole	-	-	-	≤2	4	≥8		0.5	0.5	Fluconazole	2	2	
Voriconazole	-	-	-	≤0.12	0.25– 0.5	≥1	C. albicans ATCC 10231	0.125	0.125	Voriconazole	0.25	0.25	<i>C. albicans</i> mutant
Caspofungin	-	-	-	≤0.25	0.5	≥1		0.03	0.03	Caspofungin	0.03	0.03	
Itraconazole	-	-	-	-	-	-		0.03	0.06	Itraconazole	0.06	0.125	
Amphotericin B	-	-		-	-	-		0.03	0.03	Amphotericin B	0.03	0.03	
Fluconazole	-	-	-	≤2	4	≥8		2	2	Fluconazole	1	1	
Voriconazole	-	-	-	≤0.12	0.25– 0.5	≥1	C. parapsilosis ATCC 22019	0.125	0.125	Voriconazole	0.125	0.5	<i>C. parapsilosis</i> mutant
Caspofungin	-	-	-	≤2	4	≥8		0.125	0.125	Caspofungin	0.25	0.5	
Itraconazole	-	-	-	-	-	-		0.03	0.03	Itraconazole	0.03	0.03	
Amphotericin B	-	-	-	-	-	-		0.03	0.03	Amphotericin B	0.03	0.03	
Fluconazole	-	-	-	-	≤32	≥64	<i>C. glabrata</i> ATCC MYA 2950	8	8	Fluconazole	16	16	
Voriconazole	-	-		-	-	-		0.125	0.125	Voriconazole	0.125	0.125	C. glabrata
Caspofungin	-	-		≤0.12	0.25	0.5		0.03	0.03	Caspofungin	0.125	0.125	mutant
Itraconazole	-	-	-	-	-	-		0.06	0.06	Itraconazole	0.03	0.03	

Table 2: MIC results of reference strains and strains exposed to caspofungin and CLSI M27 S3/M27 S4 standards (9,10).

Abbreviations: S: Sensitive, SDD/I: Susceptible Dose Dependent/Intermediate, R: Resistant

MIC values obtained from C. albicans ATCC 10231, C. parapsilosis ATCC 22019, and C. glabrata ATCC MYA-2950 reference strains were 0.03, 0.125, and 0.03 µg/mL, respectively. While MIC values of C. albicans were unchanged after exposure to caspofungin, they increased to 0.25 µg/mL at 24 hours and 0.5 µg/mL at 48 hours for C. parapsilosis strain and to 0.125 µg/mL for C. glabrata strain.

sensitivity tests were repeated four times to test the persistence of sensitivity in mutant strains using RPMI medium prepared at different times.

#### **DNA** isolation

DNA isolation from the strains was performed according to the protocol using "The High Pure PCR Template Preparation Kit" (Roche, Switzerland). The total amount of DNA was measured on the spectrophotometer (NanoDrop, USA). The prepared DNAs were stored in a stock solution at -80°C. The DNA amounts were remeasured and checked prior to sequence analysis.

#### Gradient polymerase chain reaction

During the Polymerase Chain Reaction (PCR) process, "Gradient PCR" was applied to find the appropriate primer binding temperature (annealing). All primers used in this study were synthesized at Sentegen (Ankara, Turkey). T100 Thermal Cycler (Bio-RAD, USA) was used for "Gradient PCR".

#### **DNA sequence analysis**

Sequence analysis of *FKS1* and *FKS2* "Hot Spot" regions was performed to determine whether there

was a mutation in the *FKS* gene region of the strains exposed to caspofungin. Predefined primer sequences were used in sequence analysis (11-14). The primer sequences used are presented in Table 1. *Candida* universal primers were used for *C. parapsilosis* that is not listed.

For the sequence analysis, the "Beckman Coulter Ampure XP" kit (Beckman Coulter, USA) was used for DNA purification. The DNA product was pre-treated using the "BigDye Terminator Cycle Sequencing Kit" (Applied Biosystems, USA). Capillary electrophoresis was performed by loading the products obtained after cycle sequencing to "Applied Biosystems 3130 Genetic Analyzer" (Applied Biosystems, USA) after purification with "ZR-96 DNA Sequencing Clean-up Kit" (Zymo Research Corp, USA). The obtained electropherograms were analysed with the "SnapGene 4.1.9" (Chicago, USA) program. The sequences were then converted to the "FASTA" format and compared with the sequences of the strains used in the study using the Basic Local Alignment Search Tool program at NCBI GenBank, and mutation analysis was performed.

			In Vitro Virulence Assays Results							
<u>Strain Names</u>	Caspofungi n MIC 48h- (µg/ml)	Mutation in the <i>FKS</i> region	Phospholi pase Activity	Esteras e Activity	SAP Activit Y	SAP Diametre (mm/mm)	Biofil m Activit y §	Adhesi on Activit γ (%)	Hemolysis Production	Average Larval Mortality (%)
C. albicans ATCC 10231	0.03	-	+	+	+	1.4/0.6=2.3		73%	α-hemolysin	67%
C. albicans Mutant	0.03	-	-	-	+	0.6/0.4=1.5	-	55%	Non hemolytic	71%
C. parapsilosis ATCC 22019	0.125	-	-	-	+	0.8/0.5=1.6	-	79%	α-hemolysin	64%
C. parapsilosis Mutant	0.5	-	-	-	+	1.3/0.6=2.16	++	87%	α-hemolysin	68%
C. glabrata ATCC MYA-2950	0.03		-	-	-		-	78%	Non hemolytic	58%
C. glabrata Mutant	0.125	position 203 of the FKS1 gene region		-	-	-		85%	Non hemolytic	80%

#### Table 3. Virulence characteristics of reference strains and strains exposed to caspofungin.

§ Strains were classified into four groups according to their abilities to form biofilms. The four groups were as follows: (-) no biofilm producers, (+) weak biofilm producers, (++) moderate biofilm producers, (+++) strong biofilm producer. The strong biofilm-producing strain was not identified in this study.

#### Virulence assays

Changes in virulence characteristics and phenotypes of ATCC strains exposed to caspofungin were investigated. For this purpose, adhesion assay, biofilm assay, haemolytic activity assay, secretory aspartyl proteinase (SAP) activity, phospholipase and esterase production were investigated in vitro. Adhesion assay, biofilm assay, haemolytic activity assay, SAP activity and phospholipase production were studied as reported by Vieira de Melo et al. (15). The percentage of adhesion to buccal epithelium was calculated in the adhesion assay. For the biofilm assay, the tube adherence method was used. For the haemolytic activity assay, sheep blood agar medium was used as modified to contain 3% SDA. For SAP activity, bovine serum albumin-containing medium was used. For phospholipase activity, a medium containing sterile egg yolk was used. For esterase formation, a medium containing peptone and tween 80 was used.

All experiments were repeated twice. *Pseudomonas aeruginosa* ATCC 27853, *Staphylococcus aureus* ATCC 29213, and *Streptococcus epidermidis* ATCC 12228 were used as the control group in the experiments.

#### Galleria mellonella in vivo virulence assay

Galleria mellonella (wax moth) was grown in a dark laboratory environment at  $28^{\circ}C \pm 2^{\circ}C$ ,  $60 \pm 5\%$ 

relative humidity. The prepared medium (100 g) contained 22 g wheat flour, 22 g wheat bran, 11 g milk powder, 5.5 g dry yeast, 17 g wax, 11 mL glycerol, and 11 mL honey mixture. 10 randomly selected white larvae, approximately 300 mg, were distributed to sterile petri dishes. Suspensions containing 10<sup>6</sup> CFU/mL yeast cells prepared from ATCC strains and caspofungin-exposed strains were prepared in sterile saline. A total of 10 µL yeast suspension was applied directly inside the posterior left leg of each larvae with a "Hamilton" injector. While making the infection model, larvae which were not treated as control group were separated. The larvae infected with yeast strains were incubated at 30°C to note the number of live larvae daily. With the number of live larvae, "Kaplan Meier" (survival) curves were plotted (16).

#### Statistical analysis

In order to compare the virulence of caspofunginexposed strains with standard strains, independent samples t-test (SPSS 22.0 [Statistical Program for Social Sciences, Chicago, USA]) was used. Independent samples t-test was preferred in the study because it is the most common method to investigate the difference between two main mass sample means.

## RESULTS

#### Results of comparison with caspofungin

For comparison with caspofungin, 15 separate consecutive passages were performed on SDA medium containing caspofungin at decreasing concentrations from 16 µg/mL to 0.03 µg/mL. There was no growth in the media with caspofungin amount between 16-2 µg/mL. The amount of caspofungin was reduced in narrow intervals after 2 µg/mL. C. albicans ATCC 10231 strain began to grow on medium containing 0.48 µg/mL caspofungin, C. glabrata ATCC MYA-2950 strain on medium containing 0.18 µg/mL caspofungin, C. parapsilosis ATCC 22019 strain on medium containing 1.48 µg/mL caspofungin. These values were recorded as "mutant formation values." Colonies grown on caspofungin-containing medium were counted and divided into the initial amount of inoculum and thus, "mutation frequencies" were determined. Mutation frequency was 1.5x10<sup>-5</sup> for C. albicans, 1x10<sup>-4</sup> for C. glabrata, and 1x10<sup>-4</sup> for C. parapsilosis. These experiments were repeated three times. The viability of colonies grown under caspofungin was determined by performing passages on SDA plates without caspofungin.

MIC values obtained from reference and caspofungin exposure strains and amphotericin B, fluconazole, voriconazole, caspofungin, and itraconazole MICs and CLSI breakpoints were presented in Table 2.

Two-way sequence analysis of *C. albicans* ATCC 10231 strain, *C. parapsilosis* ATCC 22019 strain and those exposed to caspofungin was performed with primers given in Table 1. No mutations were detected when the sequences obtained from the analysis were compared to gene bank data.

Two-way sequence analysis of the *C. glabrata* ATCC MYA-2950 strain and the 5th primer of the strain exposed to caspofungin was performed, and the presence of mutation was investigated. While there was no mutation in the reference strain, it was observed that thymine nucleotide (T) was converted to cytosine nucleotide (C) in the base at position 203 in the sequencing performed with the forward primer in the sequence analysis of the *FKS1* gene region of the strain exposed to caspofungin (GenBank Accesion Number: MT079859).

*C. albicans* and *C. parapsilosis* strains could not be mutated and MIC values of caspofungin did not change. Mutations accompanied by increased MIC in *C. glabrata* strain were shown.

#### Virulence Assays

In the second part of the study, virulence characteristics of reference strains and strains exposed to caspofungin were investigated. The results of all virulence experiments are presented in Table 3.

*C. albicans* ATCC 10231 reference strain did not mutate after exposure to caspofungin, and its MIC value did not change. While the reference origin was positive for haemolysis, phospholipase and esterase, the colonies obtained after exposure to caspofungin were found to be negative for haemolysis, phospholipase and esterase. SAP activity zone diameter decreased after exposure to caspofungin. Biofilm was negative in *C. albicans* strain. In the adhesion assay, the percentage of adhesion decreased from 73% to 55% after exposure to caspofungin. The larval mortality of strains obtained after caspofungin increased from 67% to 71%.

*C. parapsilosis* ATCC 22019 reference strain did not mutate after exposure to caspofungin but MIC value was increased by one dilution. Since MIC value was 0.25 and 0.5 µg/mL, no phenotypic resistance was shown in this strain according to CLSI breakpoints. The SAP zone diameter of this strain increased, biofilm became positive, and adhesion percentage increased. Phospholipase, esterase, haemolysis and *in vivo* virulence properties remained unchanged.

C. glabrata ATCC MYA-2950 reference strain became mutant after exposure to caspofungin and its MIC value increased by two dilutions. Since MIC value was 0.125 µg/mL, phenotypic resistance was not shown in this strain according to CLSI breakpoints. The adhesion percentages of this mutant strain increased from 78% to 85%, and the mean in vivo mortality increased from 58% to 80%. Phospholipase, esterase, SAP, biofilm, and haemolysis properties were not changed. Table 3. Virulence characteristics of reference strains and strains exposed to caspofungin.

In our study, infection model in *Galleria mellonella* larvae was established as an *in vivo* virulence experiment. Deaths started 24 hours, and the highest mortality rate was reached at 192nd hour (8th day). The larvae with no treatment were used as the control group when making the infection model. Kaplan-Meier curves were plotted with the number of larvae infected with the strains used in the study and survived until the last day of the experiment (Figure 1). Since the differences in mortality rates between the reference strains and caspofungin-exposed

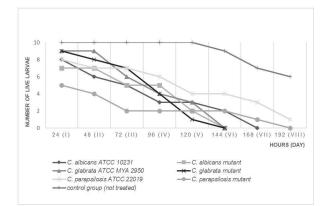


Figure 1: Survival curves of larvae

strains were not statistically significant ( $p \ge 0.05$ ), virulence was considered to be unaffected by exposure to caspofungin in the experimental larval model established.

## DISCUSSION

In our study, reference *Candida* strains as *Candida albicans* ATCC 10231, *Candida glabrata* ATCC MYA-2950, and *Candida parapsilosis* ATCC 22019, known to be susceptible to caspofungin, were exposed to caspofungin and were grown on drug-containing medium. Caspofungin MIC values of two strains other than *C. albicans* were shown to increase by one-two dilutions. Mutations were demonstrated in *FKS* gene regions responsible for echinocandin resistance in the *C. glabrata* strain. It was shown that *in vitro* virulence factors of mutant strains were partially changed compared to susceptible strains, whereas *in vivo* virulence characteristics of larvae were not different.

In the guidelines, echinocandins are recommended as the first treatment option of candidiasis in adult patients. Their use as the first treatment option in azole-resistant Candida spp. makes antifungals of the echinocandin class more valuable (17). Recent studies have reported the development of resistance due to exposure to echinocandin (4). There are case reports reporting resistance development after echinocandin use (18,19). In our study, licensed laboratory strains whose characteristics were known were used. Of the strains, C. albicans began to grow on the medium containing 0.48 µg/mL, C. parapsilosis on 1.48 µg/mL, and C. glabrata on 0.18 µg/mL. In the study of Chassot et al. 30 susceptible C. parapsilosis patient isolates were exposed to continuously increasing concentrations of caspofungin (7). Strains started to grow on medium containing 4.2 µg/mL

caspofungin. Compared to the results of this study, it was found that the ATCC strains we used started to grow at a lower concentration. The reason for this difference was interpreted as the use of clinical strain by Chassot et al. (7). After the reference strains *C. parapsilosis* and *C. glabrata* started to grow under caspofungin in our study, caspofungin MIC values increased compared to baseline MIC values. However, this increase did not result in a "resistance". The caspofungin resistance breakpoint was reported as 8 µg/mL according to CLSI (20).

The presence of point mutation and deletion in the *FKS* region of *C. glabrata* strain exposed to caspofungin was shown in our study. These mutations were associated with increased MIC. The growth frequency under caspofungin was counted as 15 cells in  $10^6$  *C. albicans* cells and *C. parapsilosis*, one cell in  $10^4$  *C. glabrata* and cells. Since the term "exposure mutant" is used in the literature for "compatible" colonies that grow under drugs, this term is used in our study. However, no mutation in the *C. albicans* and *C. parapsilosis* genome were shown. Therefore, the above numbers, which are essentially determined as the "mutant frequency", should be considered as numbers of cells that adapt to reproduction under caspofungin.

Sequence analysis of the strain exposed to caspofungin obtained from *C. glabrata* ATCC MYA-2950 showed a point mutation at position 203 of the *FKS1* gene region. In another study, 77 of *C. glabrata* isolates isolated from 1380 patients with circulatory infection were found to be moderately susceptible and resistant to antifungals of the echinocandin class based on their MIC values. Several mutations were found in the *FKS1* HS1 region in 15 of these and *FKS2* HS1 region in 35 (21).

In our study, the virulence characteristics of strains exposed to caspofungin were examined. The hypothesis of our study was that; virulence of characteristics the strains exposed to echinocandins could be changed. C. albicans strain could not be mutated and the MIC value of caspofungin did not change. The virulence characteristics were partially altered after exposure to Phospholipase, caspofungin. esterase. and haemolysis properties were lost. SAP activity and adhesion percentages were also reduced. However, larval mortality was increased. Strains of the C. albicans species are rich in virulence factors (15). Various results were obtained in studies examining the relationship between echinocandin exposure and virulence in *C. albicans* strains. Ellepola et al. reported that there was no change in haemolysis properties of strains exposed to low-concentration caspofungin, amphotericin B, nystatin, ketoconazole and fluconazole for one hour in a study conducted with *C. albicans* strains obtained from oral cavities of healthy individuals and patients with various diseases (22). In a US study, it was shown that *SAP5* expression increased within 1 hour after 7 hours of caspofungin exposure ranging from 4 µg/mL to 16 µg/mL (23). Ellepola et al. conducted an adhesion assay after 1 hour exposure of *C. albicans* and *C. dubliniensis* to caspofungin. They showed that the adhesion of *C. dubliniensis* strains to the epithelium of the mouth decreased (24).

*C. parapsilosis* strain strain could not be mutated after exposure to caspofungin, but MIC value was increased. While SAP activity, biofilm production and adhesion percentage of this mutant strain was increased, phospholipase, esterase, haemolysis production, and larval mortality did not change. It has been reported that exposure to echinocandin reduces virulence in *C. parapsilosis* strains and evolves the strains (25).

Spontaneous mutations occur in *FKS* gene regions in *C. glabrata* strains. Exposure to caspofungin increases this mutation frequency (8). In our study, mutation was developed and MIC value was increased in the *C. glabrata* strain after exposure to caspofungin. The adhesion percentage of the mutant origin increased, and the average mortality in larvae increased. The ability of *C. glabrata* strains exposed to micafungin and caspofungin to produce biofilm of origin was reduced (26).

In our study, mortality of Galleria mellonella larvae was investigated. The mini experimental animal model was preferred instead of mammalian animals due to cost, ethical rules, and working time advantages. There was no difference between the mortalities of the strains in the larval infection model. Exposure to caspofungin did not alter virulence in vivo. Papp et al. reported that mutant C. parapsilosis strains showed low in vivo virulence (25). Kalkancı et al. developed larval models with resistant and susceptible fungal and bacterial strains. In that study, it was concluded that antibiotic and antifungal resistance did not alter the mortality of larval infection (16). In our study, the strains were not resistant to caspofungin. They were strains that were exposed to caspofungin and thus formed mutations in FKS

regions. No virulence increase was detected after exposure to caspofungin in our strains.

## CONCLUSION

In this study, it was observed that exposure to caspofungin caused *FKS* mutation in *C. glabrata* strains. The MIC values of caspofungin were increased in *C. parapsilosis* and *C. glabrata* strains. However, virulence did not change significantly in the mutant strain. In *C. albicans* strains which did not develop mutations and whose caspofungin MIC values did not change, some virulence characteristics were lost. In the light of these observations, it was concluded that exposure to caspofungin did not affect *in vivo* and *in vitro* virulence of *Candida* strains studied.

**Conflict of Interest:** No conflict of interest was declared by the authors.

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